

Department of Information Management and Finance
 Graduate Program of Finance
 Academic Requirements for Doctoral Students

Academic Year 2024

minimum periods	2 years			
minimum graduation credits	37 credits (excluding preliminary courses)			
minimum graduation credits of students admitted to the PhD program from MA program	43 credits (excluding preliminary courses)			
Courses	<p>➤ Preliminary Course: Divided into following two categories, students must need to meet one of them.</p> <p>(1) Economics, Accountings, and Statistics, <u>6 credits for each subject</u> (Students may apply to exempt the courses or change to other courses according to their transcriptions of university level or above, or certificates of other programs at the same level.)</p> <p>(2) Economics, Data Structure, Algorithms, and Programming, <u>3 credits for each subject</u> (Students may apply to exempt the courses or change to other courses according to their transcriptions of university level or above, or certificates of other programs at the same level.)</p> <p>➤ Course structure:</p>			
	Type	Title	Credits	Remarks
	Basic Courses (9 credits in total)	Financial Management	3	Basic Courses (3 out of 6)
		Futures and Options	3	
		Investment	3	
		Theory of Derivatives	3	
		Financial Econometrics	3	
		Financial Mathematics	3	
	Core Courses (13 credits in total)	Financial Theory	3	
		Advanced Financial Econometrics	3	
Seminar in Finance for Doctoral Students		1	<p><u>Finance group:</u> The required courses should be fulfilled with 7 credits in total. Credits over 7 are not adopted.</p> <p><u>AI group:</u> The required courses should be fulfilled with 4 credits in total. Credits over 4 are not adopted.</p> <p><u>Applicable to IOF students who has not taken the course “Seminar in Finance for Doctoral Students B” before academic year 2022.</u></p>	
Artificial Intelligence: Theory and Practice		3	Required course of <u>AI group</u> ; can be replaced with other courses that approved by the IOF PhD Program Committee.	

Type	Title	Credits	Remarks
Professional Courses (Finance group: 6 credits in total ; AI group: 3 credits in total)	Special Topics on Corporate Finance	3	Finance group: 2 out of first 4 courses AI group: 1 out of 5 courses
	Special Topics on Financial Engineering	3	
	Seminar on Financial Institutions	3	
	Special Topics in Financial Economics	3	
	Data Science Project	3	
Elective Courses (Finance group: 9 credits in total ; AI group : 12 credits in total)	Independent Study	1	The course, "Independent Study," can be selected by students who has determined their advisors only. Finance group: At most 6 credits are adopted. AI group: At most 3 credits are adopted.
	Finance group: All graduate courses offered by our department. AI group: 1. All graduate courses offered by our department. 2. Other related graduate courses listed below offered by University System of Taiwan (UST) (will be regarded as the courses offered by our department) <ul style="list-style-type: none"> ➤ Information Security ➤ Machine Learning and FinTech ➤ Data Mining ➤ Statistical Methods ➤ Introduction to Data Science and Software Practice ➤ Big Data Analytics Techniques and Applications ➤ Machine Learning (Algorithm and Its Application) ➤ Parallel Programming ➤ Data Visualization and Visual Analytics ➤ Statistical Computing ➤ Multivariate Analysis ➤ Financial Time Series Analysis ➤ Other courses that approved by the IOF PhD Program Committee 		<ul style="list-style-type: none"> ➤ Finance group: At most 6 credits of the courses in financial field offered by other departments or graduate institutes can be adopted. (other courses that approved by the IOF PhD Program Committee are not included) ➤ AI group can take all courses listed in the left side. ➤ All listed courses in the Academic Requirements can count as elective credits if taken more than the required credits. ➤ If students who want to take the courses offered by other departments or graduate institutes, they need to applied before the semester begin and must be approved by the IOF PhD Program Committee. Courses approved by the IOF PhD Program Committee will be regarded as the courses offered by our department.

Others: Under the approval of IOF PhD Program Committee, the foreign students can study the related courses given by the University System of Taiwan (UST) and it will be regarded as the courses offered by our department.

*Applicable also to students admitted before academic year 2022 retrospectively under the approval of student's advisor or the chairman in financial field.

*The Regulations were drawn up in Chinese and translated into English. In the event of any discrepancy between the two versions, the original Chinese version shall prevail.

資訊管理與財務金融學系財務金融博士班

113 學年度

最低修業年限	二年			
應修學分數	<u>37 學分</u> (不含先修課程)			
直升博士生應修學分數	<u>43 學分</u> (不含先修課程)			
應 修 課 程	<p>➤ 先修課程：分為以下兩類，符合其中一類即可。</p> <p>1. 經濟學、會計學、統計學各 6 學分。(得憑大學以上成績單證明或其他同等級課程相關證明提出申請，可免修或改修相關課程)</p> <p>2. 經濟學、資料結構、演算法、程式設計各 3 學分。(得憑大學以上成績單證明或其他同等級課程相關證明提出申請，可免修或改修相關課程)</p> <p>➤ 課程結構：</p>			
	類別	課程名稱	學分數	備註
	基礎課程 (共 9 學分)	財務管理	3 學分	基礎課程 6 選 3
		期貨與選擇權	3 學分	
		投資學	3 學分	
		衍生性商品理論	3 學分	
		財務計量經濟學	3 學分	
		財務數學	3 學分	
	核心課程 (共 13 學分)	財務理論(博)	3 學分	
		高等財務計量(博)	3 學分	
博士班論文研討		1 學分	❖ 財金組 ：申請博士學位考試前需修滿 7 學分，超過 7 學分者不予採計。 ❖ AI 組 ：申請博士學位考試前需修滿 4 學分，超過 4 學分者不予採計。 111 學年度之前未修習過博士班論文研討 B 之學生可申請追溯適用本項規定	
人工智慧理論與實作		3 學分	本課程為 AI 組必修；可以財金博委會審查通過之其他相關課程取代。	

應修課程

類別	課程名稱	學分數	備註
專業課程 (財金組共 6 學分；AI 組共 3 學分)	財務決策專題(博)	3 學分	❖ 財金組 ：前 4 個專題 4 選 2。 ❖ AI 組 ：專業課程 5 選 1。
	財務工程專題(博)	3 學分	
	金融機構專題(博)	3 學分	
	財務經濟專題(博)	3 學分	
	數據科學專題	3 學分	
選修課程 (財金組共 9 學分；AI 組共 12 學分)	個別研究	1 學分	❖ 「個別研究」課程：需 <u>擇定指導教授</u> 後方可選修。 ❖ 財金組 ：本課程至多採計 6 學分。 ❖ AI 組 ：本課程至多採計 3 學分。
	財金組 ：其他 本系開設之碩博士班 課程。 AI 組 可選修 本系開設之碩博士班課程 以及 下列本校開設之其他相關 <u>碩博士班課程</u> ： ➢ 資訊安全 ➢ 機器學習與金融科技 ➢ 資料探勘 ➢ 統計方法 ➢ 數據科學概論與軟體實務 ➢ 巨量資料分析技術與應用 ➢ 機器學習(演算法與應用) ➢ 平行程式設計 ➢ 資料視覺化與視覺分析 ➢ 統計計算 ➢ 多變量分析 ➢ 財務時間序列分析 ➢ 或其他由財金博委會審核通過之 AI 相關課程		❖ 財金組 ：外系所課程至多採計 6 學分(不含由財金博委會通過之相關課程)。 ❖ AI 組 ：可修習左述本校開設之其他 AI 相關課程。 ❖ 表列之各項課程如有修習超過必修之學分數者，得列計為選修課程。 ❖ 本班博士生如欲修習非本系開授之課程且列入畢業學分者，需於修課當學期 開學之前 提出申請，並經由財金博委會審查通過；經由財金博委會審查通過之課程，視同本系開課，不列計外系所課程學分。

其他事項: 本班之外籍生在財金博委會同意下，可申請至臺灣聯合大學系統修讀相關課程；申請通過後，其所修讀之課程，可視同本系開設之課程。